

Rising from the Depths, call three

August 2019 to 5pm (UK time) 31 October 2019

Call overview

What Rising from the Depths innovation projects?

The Rising from the Depths network aims to identify and utilise the Marine Cultural Heritage (MCH) of Eastern Africa to create tangible benefits in Kenya, Tanzania, Mozambique and Madagascar. The network will fund a range of Innovation Research Projects in the region. These are network funded, co-created research projects that aim to identify new opportunities and methods for using and protecting MCH. [See the projects funded so far.](#)

What is MCH?

Our definition of Marine Cultural Heritage is key to the scope and breadth of projects we aim to fund. Marine Cultural Heritage (MCH) is a catch all terms for all of the space – coast and sea – that is affected by human maritime action. As a result we take a broad view of the MCH of East Africa encompassing tangible remains such as submerged marine sites, coastal archaeology, maritime ecologies, geology as well as the intangible components such as cultural practices, artistic and linguistic expressions, local skills, traditional and historical knowledge.

Our consideration of the reach of MCH is similarly broad and includes its relationship to economic development, environmental management, social justice, education and identity. We envisage funding activity encompassing a range of disciplines (archaeology, anthropology, coastal engineering, climate science, geography, law, natural sciences, museum and material culture studies) bringing together African-based research teams to work with local communities, NGOs, third sector partners and marine industry stakeholders to utilise the full potential of MCH and bring widespread and sustainable benefits to the region.

Globally, the potential and importance of MCH has not yet been realised anywhere. Critically, MCH is under threat everywhere, especially in the Western Indian Ocean, from natural forces and climate driven coastal change as well as intensification in coastal and offshore development. We are losing the resource before we have had a chance to harness its potential. Local capacity in eastern Africa to undertake such activity is limited and urgently needs enhancing through investment in research-based training and public engagement.

Taking a holistic approach to marine heritage, we intend to fund projects that integrate scholarship on East Africa's coastal built heritage, underwater sites, environments, natural resources, legal structures, material practices and living traditions, bringing them into the development conversations, resulting in an enhanced understanding of the region's MCH.



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Aim of the Call

The final Rising from the Depths call will fund challenge-led, coordinated and multi-disciplinary engagement with the rich Marine Cultural Heritage (MCH) of Kenya, Tanzania, Mozambique and Madagascar. Projects can be either small (£5,000 at Full Economic Cost) or medium (£10,000 at Full Economic Cost). We will consider any project that is relevant to, or deals with, MCH in one or a combination of these countries as long as they have a clear research element.

Research must be collaborative, co-produced and challenge led, producing a clear benefit (economic, social or cultural) for those living in coastal regions in Eastern Africa. Research must be led by institutions from either the UK, Kenya, Tanzania, Madagascar or Mozambique. UK researchers must collaborate with an East African partner.

To date the network has funded 19 projects across the region, [you can look at these here](#).

While we are open to innovative project proposals that deal with any of the aims and objectives of the Rising from the Depths network we are particularly looking for projects that focus on one or more elements of the following:

- Community and public outreach bringing [current RftD projects](#) together through film making, media presentation or the creation of educational resources
- Exploring the socio-legal implications of infrastructure projects planned to be (or in the process of being) developed in the region that affect MCH, addressing questions of participatory development and sensitisation with a view to ultimately explore and identify mitigation and reparation measures that address the needs and rights of the affected population
- Upskilling capacity to record, preserve and present MCH throughout the region
- Engagement with the government organisations, the offshore industry and/or coastal development projects in the region

The Network has an £800,000 budget to fund projects over all of our funding calls. Within call three, we will be funding projects of up to £10,000 that will disseminate the wider aims of the network (the importance and utility of MCH in Eastern Africa) and that will enhance or create links between the existing project portfolio.

All awards must be completed within 9 months by 30 September 2020.

Applications must be made through [our online application form](#), submitted by **5pm (UK time) on the 31 October 2019**. Please submit forms as early as possible to allow us time to assist with technical issues. If you have trouble accessing or using the online form, please email risingfromthedepths@nottingham.ac.uk.

This programme is funded by the Arts and Humanities Research Council and Global Challenges Research Fund, and is being coordinated by the University of Nottingham in collaboration with the Universities of Roehampton, York, Bournemouth, Cambridge, Uppsala (Sweden), Ulster and Eduardo Mondlane (Mozambique). The programme ends in September 2021.

This document covers all of the information which you will need before you can write an application, including information of our aims and objectives, advice for filling in application forms and practicalities for submission.

Research challenge

The Rising from the Depths network aims to identify how aspects of the MCH of Kenya, Tanzania, Mozambique and Madagascar can stimulate ethical, inclusive and substantial economic growth in the region. [Our definition of MCH is a catch-all term for the space affected by human maritime action.](#) This challenge can be addressed through a range of disciplines, and multidisciplinary research is strongly encouraged as long as the project is rooted in the Arts and Humanities.

Development agreements rarely take account of cultural heritage or its value. The role MCH could play in private industry development and development aid success has not yet been realised and research in the area is lacking. Kenya, Tanzania, Mozambique and Madagascar are among the least developed countries in the world, leaving them vulnerable to geopolitical turmoil, resource exploitation and environmental change through storm activity and changing sea levels. It is our goal to introduce MCH to the development agenda.

We believe that MCH has an important, but so far unappreciated role to play in the long-term success and sustainability of coastal and marine development. We aim, through our projects, to communicate the significance of MCH from the grassroots, through working directly with local groups and engaging national governments through considering relevant development law and policies.

The three main areas in which research can fall into are policy, industry and the community. [You can find out more about these through our website.](#)

Assessment process and criteria

The assessment process will include independent expert peer review, undertaken by the assessment panel. Standard principles of review will apply with regard to confidentiality and conflicts of interest. The assessment panels will comprise of members of the Network Plus core institutions and its external partners, these will include overseas and non-academic reviewers. The awards will be made to a balanced portfolio across the research themes, different partnership models, engagement methods and arts-based approaches.

Applications will be reviewed under the following headings:

Quality of research

Including: aims and objectives that are co-created between East Africa and the UK, clearly identified research questions that align with the network's aim and are rooted in the Arts and Humanities, research context and research methods that will achieve these aims and consider ethics and risk.

People involved

Including training and development opportunities (either within or outside of the research expertise).

Management

Including feasibility of the project within its timescales, appropriateness of project plan and reviewing milestones, arrangements for supporting and supervising students, evidence of the PI's ability to deliver the project and outputs.

Value for money

Including appropriateness of the resources requested to complete the proposal, understanding of the GCRF funding requirements.

Outputs, dissemination and ODA compliance

Including the extent to which projects will result in high quality public outputs production of content for the Network's open source Usable Past Platform, if sufficient attention is given to beneficiaries and ways to engage with them throughout the project, a clear benefit to local communities and legacy beyond the project's initial timeframe. Projects should also consider the language in which their outputs are produced and how this could increase their impact by reaching different countries across the region. The Network encourages a wide range of outputs to be developed, including those outside of traditional academia.



Eligibility

This section covers:

- [Eligible activities](#)
- [Eligible applicants](#)
- [Eligible host institutions](#)
- [Eligible budgets](#)

Which activities are eligible?

Awards can be for up to 9 months in duration. They can start from 1 January 2020 and must be completed by the end of September 2020.

A grant may be used for any research related activity and to communicate the findings of research. This could include, but is not limited to:

- Fieldwork
- Quantitative surveys
- Focus-groups
- Interview-based research
- Archival research
- Photographic documentation
- Producing a film/play/media programme/app
- Holding a course or workshop about research

Activities that are not eligible for funding include:

- Activities that do not contain an element of new research
- Fees for individuals to undertake university undergraduate or postgraduate courses
- Any application where equipment forms over 25% of the total budget or £10,000 (whichever is lower) of their budget

Who is eligible?

The project team will be composed of a Principal Investigator (responsible for the leadership of the project) and up to three Co-Investigators. These investigators should include at least one researcher from Kenya, Tanzania, Madagascar, Mozambique. There can be international Co-Investigators who aren't from DAC countries, the rules for these are described below.

Where an Investigator is from is judged by the institution at which they will be based. There are instances where an institution, such as an NGO, might be based in one country, but operate in another. In this instance, the application needs to demonstrate: where the majority of work is happening, including the work of the individual investigator, where the investigator's employment contracts are held and whether the organisation is large, with considerable resources, or smaller, with limited abilities to operate beyond their normal parameters in the DAC list country where the project will operate.

“Researcher” is understood in the broadest sense of the term as, people who wish to undertake an inquiry or exploration through any method, e.g. ethnography, fieldwork, literature review, discussion, film, theatre, art, interviews, conversation, science, observation etc. Any discipline is eligible to apply, but the team must have at least someone who is working within an arts or humanities discipline and the project must be rooted in the arts and humanities.

There can be international Co-Investigators who aren't from DAC countries, but no more than 30% of the costs may be allocated to an international non-DAC Co-Investigator's institution. This 30% limit applies to the total costs for international Co-Investigators who are not based in DAC list countries and the costs for third sector organisations. An NGO based specifically in a DAC list country and working in that specific context would not be subject to the 30% limit, see guidance above.

The assessment panel will consider the composition of your team. Applications including/led by early-career researchers are strongly encouraged. We also encourage teams to work across local communities, with NGOs, third sector partners or with marine industry stakeholders. Project teams must be interdisciplinary, and we encourage groups to consider equality, diversity and inclusion when forming the group.

Which host institutions are eligible?

The host institution must be based in either Kenya, Tanzania, Madagascar or Mozambique, or the UK. The application must be made through a host organisation (e.g. an NGO, University, Research Institute, community group social enterprise, arts or cultural organisation) where the appropriate authority has agreed for the application to take place.

The host organisation will need to agree to the following if their application is successful:

Ability to deliver

The organisation applying for an award should be able to:

- demonstrate in-house capacity in terms of staff and infrastructure to support and lead excellent research programmes;
- provide evidence of a commitment to maximising the wider impact and value of its research to the benefit of local economies and society;
- demonstrate commitment to the principle of Open Access publication (recognising institutions may not necessarily be signed up to equivalent UK recognised standards);
- have sufficient capacity to deliver research and/or other outputs that are appropriate to the wider aims of the Network Plus award and that can be meaningfully recorded and reported as such;
- demonstrate an ability and commitment to provide appropriate leadership and support to those staff involved with funded research activity.

Governance and Control

The organisation should be able to:

- demonstrate good governance and control functions including policies and approaches to control risk and mitigate fraud and corruption;
- have satisfactory processes for preventing, detecting, reporting and responding to allegations of slavery, fraud, bribery and corruption;
- have satisfactory processes in place that meet Research Integrity and Ethics requirements, including processes for dealing with allegations of misconduct;
- be subject to appropriate levels of independent audit;
- demonstrate an ability to support the effective collection, management, analysis and dissemination of data.
- have satisfactory processes to ensure that effective safeguarding policies and practices are approved, implemented and monitored.

Financial Stability

The organisation should be able to:

- demonstrate that they are financially stable and have robust assurance around managing and accounting for grant funding;
- have a bank account that is in its legal name and that can be reconciled to the appropriate finance management system;
- have a basic finance management system that can be used to reconcile the bank account, to record all cash and payments ensuring that all transactions can be individually identified and provides suitable storage for supporting documentation;
- have satisfactory procedures in place for making payments for per diem, travel advances or review of receipts and subsequent reimbursement of expenses for approved official travel.

Sub-contract Management

Where the Project lead / PI role on the project would involve sub-contracting to third parties, the organisation should be able to:

- ensure there is a policy in place to sufficiently manage sub-contractors, ensure safeguarding, and address any associated financial or compliance risks.

Please be advised that if your project is chosen for funding that you will be required to evidence the above through the provision of appropriate policies, procedures and audited financial statements. For any additional guidance please contact us at risingfromthedepths@nottingham.ac.uk.

What is an eligible budget?

An eligible budget will meet the following criteria:

- At least 50% of the full economic cost of the project **must** be allocated to East African countries involved to meet UK Overseas Development Aid requirements for direct benefit.
- Your budget must be in Great British Pounds (GBP). When converting currency, please use www.oanda.com/currency/converter
- They will not exceed £10,000 (at 100% of the full economic cost (FEC) of the activities)
- No more than 30% of costs will be allocated to an international, non-DAC, Co-Investigator's institution
- No more than 25% of the budget will be used to purchase equipment
- Organisations in Kenya, Tanzania, Madagascar and Mozambique can request up to 20% of overheads costs on staff costs, these must be part of the total requested budget, UK overheads will be worked out in accordance with UKRI rules
- All of the activities will take place within the project dates, for example a flight cannot take place after the project finishes even if the ticket is purchased before the end date
- All expenses should be good value for money, standard class public transport and economy air travel
- It will include all costs of outputs, for example translation costs
- All expenditure mentioned throughout the application will be accounted for, if these are being covered elsewhere, this will be outlined in the budget justification
- Capital or infrastructure expenditure (e.g. basic office/laboratory/accommodation furniture or equipment, building/site construction, maintenance or refurbishment work, etc.) is not an eligible cost under this call although additional costs incurred by existing facilities or infrastructures relating to their use as a part of the research or in the archiving of project-specific research data/outputs may be considered.

Application process and format

How do you apply?

[Applications must be made through our online form](#). The form does not allow for applications to be saved and returned to, so please prepare all of your answers in advance, we recommend doing this in a separate, saved document. We will not accept partially filled in forms. If you have issues using the form, please email risingfromthedepths@nottingham.ac.uk and we will find an alternative method of submission.

What questions will you be asked?

Basic information

Host Institution

Division/department, address, research office contact email

Investigators

Name, email, institution, time to be spent on the project, CV (attached as a word/PDF document)

Project Summary

Project title, proposed start date, proposed duration, total requested (at 100% FEC) in GBP

Project Aim

Describe the main aim of your project. How does this relate to the overall aim of the Rising from the Depths network to utilise the potential of Marine Culture Heritage (MCH) to create social, economic and cultural benefits for communities in East Africa. (300 words)

Project Summary

Describe the proposed research in simple terms, in a way that could be publicised to a general audience. Note, this may be published on the Rising from the Depths website in the event that the grant is awarded. (300 words)

Impact Summary

Outline how your research or activity will directly benefit East African communities and/or society in the short, medium and long term. Is there any wider impact of your research to the UK and globally? Consider how this impact will be delivered.

Further information on impact policies and expectations is available on the UKRI website: www.ukri.org/innovation/ (600 words)

Objectives

The objectives of the proposed project should be listed in order of priority and should be those that the applicant would wish the reviewers to use as the basis for evaluation of work upon completion of any grant awarded. (600 words)

Beneficiaries

Please summarise how your proposed research or activity will contribute to knowledge within East Africa, the UK and globally. This includes both the academic impact, such as benefit to other researchers, collaboration, multidisciplinary benefits, or materials to be produced, and tangible benefits to be created for people living in coastal communities in East Africa. (600 words)

ODA compliance

Applications must provide evidence to demonstrate that activities primarily and directly benefit [developing countries on the Development Assistance Committee \(DAC\) list](#). To be ODA eligible,



GCRF activity must address development needs specific to the DAC countries worked with, and must primarily benefit those developing countries. Any benefit to the UK or other developed countries must be a secondary consideration.

All applications for funding must include an ODA Compliance statement, with 600 words per question:

1) Which country/countries on the DAC list will directly benefit from this proposal? [The DAC list of ODA recipients is available here.](#) (600 words)

2) How is your proposal directly and primarily relevant to the development challenges of these countries? (600 words)

3) How do you expect that the outcome of your proposed activities will promote the economic development and welfare of a country or countries on the DAC list? Please give examples of the specific activities that you will undertake (600 words)

Case for support

The case for support is a of your research, with a 5,000 word limit; this should include, but is not limited to:

- A description of your **aims**, the relation to the network's aims and research context in which you are working. We would like to know the problems you intend to address and issues you will explore. You should also address the context of this research, looking at why it is important, other research in this area and the contribution you will be making to this
- The **methods** you will use to address the questions, including why you have chosen these. This is also where you would include any creative aspect of your research and any new processes that you will be developing
- **Project management**, including how you will be allocating roles, the multidisciplinary aspects of your research, co-production, staff time on the project, timetables and milestones, risk assessments, post-doctoral researchers, secondments and staff development
- **Ethics and permits** to include any ethical implications of your research (such as involving human participants, relation to the environment or the safety of countries involved). Give brief details of these and how you will address them, indicating whether your host institution has ethical guidelines
- The kinds of **outputs** that you intend to produce, how you will maximise these, and how we will be able to share these on our MCH Usable past platform, www.risingfromthedepths.com
- Relation to a larger project if applicable

Budget justification

Your budget justification should cover the following (750 words)

- Explain why the indicated resources are needed, taking into account the nature and complexity of the project
- Justify the need for investigator time, consultancy or subcontracting, considering the administrative responsibilities of running a project
- The need for facilities or staff must be justified, but we do not need a justification of their value
- Estates costs need not be justified
- Cost for items expected to be found in a host institution must be justified

Equality and Diversity Monitoring

You will need to know the date of birth, gender, nationality, ethnic origin and any disabilities of all Investigators of the project. You can choose not to disclose if preferable.

What supporting documents will you need?

Budget form

When applying for any of the grants, you will need to submit a fully itemised budget. The Excel budget template can be downloaded from our website. It contains two sheets; one for UK based costs and another for international costs. UK costs should be subject to 80% FEC where applicable.

The budget [must be in line with our guidance on eligible budget](#). We recommend that you work with your Co-Investigators to share knowledge on budget drafting. You can also contact the network at risingfromthedepths@nottingham.ac.uk to discuss specific budget queries.

Should your application be successful, information on financial and narrative reporting will be provided in the award letter. This will include an itemised financial report, supported by invoices and receipts.

Visual Evidence

You may submit up to two sides of A4 as visual evidence. This can include images, graphs and tables and should be submitted as a word/PDF document. This is not compulsory.

Referee forms

The referee form can be downloaded from our website; it must be filled in by a professional, with good knowledge of your work and uploaded as a word/PDF document to the online form.

Practical advice

The Rising from the Depths Network wants to help facilitate your application. Whilst we cannot make comments on the academic content of your submission, we can offer assistance about the practical elements such as budgets, formatting and helping to form a project team. If you have any questions when completing your application, please email risingfromthedepths@nottingham.ac.uk where we can assist you.

If you need assistance finding a collaborator or Co-Investigator in either the UK or East Africa, please send us an email at the above address, and include a short description of what your project is and the skills and expertise that you are hoping the collaborator will have.

Checklist

Before starting an application, please ensure you have:

- Complete answers to the questions above, ready to submit into the online form
- A CV for each Co-Investigator and a full list of project partners
- A completed budget form, downloaded from our website
- Visual evidence, a maximum of two sides of A4 (not compulsory)
- A completed referee form, downloaded from our website

Call timeline

- October 31 2019, 5pm UK time – Deadline for submissions [using the online form](#)
- November 2019 – Peer review and panel meeting
- November 2019 – funding decisions issued
- November-December 2019 – resubmission period if changes are required
- December 2019 – contracts issued



- January 2020 – Award start date

Scheme requirements and post awarding

The Rising from the Depths Network will require full reports from all projects, which we will report back to the Arts and Humanities Research Council, for audit purposes and Official Development Aid requirements. This may include data gathered through the application process.

Outputs from commissioned projects will form part of the reporting for the network. You will need to include information about the type of output that you plan to produce in your application. Rising from the Depths encourages the production of a wide range of outputs, including those outside of traditional academia. The lead research office, at the University of Nottingham, will be responsible for ensuring the reporting arrangements are in place to allow successful projects to meet this requirements.

All successful projects will be required to provide regular updates that will be reviewed by the networks management board. These will feed into the network's activities and research, including training events and knowledge exchanges.

Rising from the Depths will be creating a MCH Usable Past, Open Source Platform, and will be assessing what applications will be able to offer to this resource. These could include creative content, academic articles or work commissioned as part of a project.

Projects should consider some key performance indicators that they may use to measure success. These could include, but are not limited to, numbers of participants to events, reach achieved, changes in organisational behaviour, questionnaire data from participants or case studies. Applications should show an awareness of how the methods their project will use.

Glossary

AHRC

Arts & Humanities Research Council. This is the funding body who have funded the Rising from the Depths Network. [You can read more about them here.](#)

Capacity

Our call documents ask for applicants to demonstrate their capacity in several fields. By this we mean, applicants must show a level of understanding of the issues that we raise and can explain to us how they would deal with these. For example, this would include having an understanding of what financial management is, and can explain who will manage the project's finances and how t.

Co-Investigator

A Co-Investigator is a member of the research team on a project, but is someone who is not responsible for the overall management of the project.

DAC List and DAC List Countries

DAC stands for Development Assistance Committee. The DAC is part of the Organisation for Economic Co-Operation and Development (OECD). They have devised a list of countries (the DAC list) that are eligible for receiving Official Development Assistance. Within the Rising from the Depths Network, these are the countries that must have a tangible benefit from the activities of Innovation Projects. [The full list of DAC Countries can be found here.](#)

ECR

Early Career Researcher. We follow the AHRC's definition for ECR's which is someone who is within eight years of the award of their PhD, or an individual who is within six years of their first academic appointment. [More information on ECR eligibility can be found here.](#)

GCRF

Global Challenges Research Fund. The GCRF is a £1.5 billion fund from provided by the UK Government to support research that address challenges faced by developing countries. The GCRF are one of the funders of the Rising from the Depths Network. [You can read more about them here.](#)

Host Institution

The Host Institution, for the purposes of our call, is an academic institution, or other organisation, through which the project will be managed. For example, this is where the money will be sent to, and who we will expect financial reports from. Please note, a host institution is not required for small grants, as these can be given to individuals.

International Co-Investigators

The Rising from the Depths Network considers any Co-Investigator who is not from or affiliated with an institution in Kenya, Tanzania, Mozambique, Madagascar or the UK to be an international co-investigator.

Network Plus Scheme

The Network Plus Scheme is the creation of five new interdisciplinary networks, funded by the GCRF. The Rising from the Depths Network forms one of the five Networks. [You can read more about the creation of the networks here.](#)

ODA

Official Development Assistance. These are grants or loans that are made to DAC Countries that promote the economic development and welfare of a developing country as their main objective. [The OECD sets out a full definition here.](#)

When considering if the partners in your project are going to meet ODA compliance, consider the following questions:

Where is the majority of the work of this organisation (and the individuals involved) actually taking place?

Do Co-Investigators have employment contracts with institutions based in non-DAC countries?

Is this a major NGO with considerable resources, or is this a small scale NGO with limited ability to operate beyond its normal parameters in the DAC list country/context in question?

Principal Investigator

A Principal Investigator is the person who will hold the money awarded with the grant and will be responsible for leading the research project. They can be a researcher in the broadest sense, as is set out in our [call documents](#). For small grants, they can be an individual, but for medium and large grants, we would expect the Principal Investigator to be affiliated with an institution.